JAN 0 2 2000 6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Jennifer June Brown et al.

Serial No.

10/042,711

Filed:

December 12, 2001

Title:

BIOLOGICAL MODELS CAPABLE OF EXHIBITING SECONDARY DISEASE MANIFESTATIONS AND USEFUL FOR DEVELOPING THERAPEUTIC DRUGS DIAGNOSTIC PRODUTS AND THERAPEUTIC OR DIAGNOSTIC PROCEDURES, METHODS OF USING

SAME, AND CELLS, TISSUESAND ORGANS

**DERIVED THEREFROM** 

Group Art Unit::1632

Examiner: Not Yet Known

527 Madison Avenue, 9th Floor New York, New York 10022 December 30, 2002

FILED BY EXPRESS MAIL

United States Patent and Trademark Office

P.O. Box 2327

Arlington, Virginia 22202 **Attention: Box Sequence** 

COMMUNICATION DIRECTED TO NOTICE

TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION – COMPLIANCE
WITH REQUIREMENTS OF 37 CFR 1.821-1.825 –SEQUENCE RULES

Dear Sirs:

This Communication is directed to the notice to comply with sequence rules that was contained in the Notice to File Missing Parts of Application Of Non-Provisional Application that was mailed on July 30, 2002 in connection with the above-identified application. A copy of the Notice To File Missing Parts is attached hereto as Exhibit A.

**EXPRESS MAIL CERTIFICATE** 

"Express Mail" Label No. EV205342210US

Deposit Date

December 30, 2002

I hereby certify that this paper and the attachments herein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and

Trademarks, Washington DC 20231.

Ronald C. Fedus Reg. No. 32,567 EC 30 2002

No. 32 567

ENZ-57(CIP)(C)

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In response to the requirement to comply with sequence rules, Applicants hereby submit the following documents or items:

- 1. an initial paper copy of the "Sequence Listing (Exhibit 1);"
- 2. Amendment directing entry of the "Sequence Listing into the specification (Exhibit 2);
- 3. computer readable form (CFR) copy of the "Sequence Listing" (Exhibit 3);
- 4. Declaration Under 37 C.F.R. §1.821(g) attesting that the content of the paper and computer readable copies are the same and include no new matter (Exhibit 4); and
- 5. a Statement To Support Filing and Submission In Accordance With 37 CFR §§ 1.821-I.825.

No fee is believed due in connection with this Communication or the documents or items submitted herewith. If any fee or fees are due, however, the Patent and Trademark Office is hereby authorized to charge the amount of any such fee to Deposit

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Account 05-1135, or to credit any overpayment thereto.

If helpful to processing this Communication, the undersigned may be contacted by telephone at (212) 583-0100 during the daytime hours.

Respectfully submitted,

Ronald C. Fedus

Registration No. 32,567 Attorney for Applicants

ENZO THERAPEUTICS, INC. c/o Enzo Biochem, Inc. 527 Madison Avenue (9<sup>th</sup> Fl.) New York, New York 10022 (212) 583-0100



# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20291
www.usblo.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

Jennifer June Brown

ATTORNEY DOCKET NUMBER

10/042,711

ald C. Fedus, Esq.

CONFIRMATION NO. 4374

ENZ-57 (CIP) (C)

Attn: Ronald C. Fedus, Esq. ENZO THERAPEUTICS, INC. c/o ENZO BIOCHEM, INC. 527 Madison Avenue, 9th Fl. NEW YORK, NY 10022



FORMALITIES LETTER

\*OC000000008529872\*

Date Mailed: 07/30/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention
  is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May

15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

### Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

 Additional claim fees of \$1120 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1990 for a Large Entity

- \$740 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$1120
  - \$756 for 42 total claims over 20.
  - \$84 for 1 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.

A copy of this notice <u>MUST</u> be returned with the reply.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE